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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
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09/938,373

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Harry S. Hvostov

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Sawyer Law Group  
2465 E. Bayshore Road  
Suite 406  
Palo Alto, CA 94303

EXAMINER

EMDADI, KAMRAN

ART UNIT

PAPER NUMBER

2667

DATE MAILED: 04/06/2005

Please find below and/or attached an Office communication concerning this application or proceeding.

4

# Office Action Summary

Application No.

09/938,373

Applicant(s)

HVOSTOV ET AL.

Examiner

Kamran Emdadi

Art Unit

2667

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

## Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If the period for reply specified above is less than thirty (30) days, a reply within the statutory minimum of thirty (30) days will be considered timely.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

## Status

- 1) ☒ Responsive to communication(s) filed on 23 August 2001.
- 2a) ☐ This action is **FINAL**. 2b) ☒ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

## Disposition of Claims

- 4) ☒ Claim(s) 1-28 is/are pending in the application.
- 4a) Of the above claim(s) \_\_\_\_\_ is/are withdrawn from consideration.
- 5) ☐ Claim(s) \_\_\_\_\_ is/are allowed.
- 6) ☒ Claim(s) 1-28 is/are rejected.
- 7) ☐ Claim(s) \_\_\_\_\_ is/are objected to.
- 8) ☐ Claim(s) \_\_\_\_\_ are subject to restriction and/or election requirement.

## Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☐ The drawing(s) filed on \_\_\_\_\_ is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.  
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).  
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

## Priority under 35 U.S.C. § 119

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All b) ☐ Some \* c) ☐ None of:
1. ☐ Certified copies of the priority documents have been received.
  2. ☐ Certified copies of the priority documents have been received in Application No. \_\_\_\_\_.
  3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

\* See the attached detailed Office action for a list of the certified copies not received.

## Attachment(s)

- |  |   |
|--|---|
| 1) <input checked="" type="checkbox"/> Notice of References Cited (PTO-892)  | 4) <input type="checkbox"/> Interview Summary (PTO-413)<br>Paper No(s)/Mail Date. _____ |
| 2) <input type="checkbox"/> Notice of Draftsperson's Patent Drawing Review (PTO-948)                                   | 5) <input type="checkbox"/> Notice of Informal Patent Application (PTO-152)             |
| 3) <input type="checkbox"/> Information Disclosure Statement(s) (PTO-1449 or PTO/SB/08)<br>Paper No(s)/Mail Date _____ | 6) <input type="checkbox"/> Other: _____  |

## **DETAILED ACTION**

### ***Claim Objections***

Claim 1 is objected to because of the following informalities: input/output ports are first introduced without an acronym identifier (i.e. media access controllers (MACs)). The claim further refers to I/O ports without first identifying the acronym (I/O). In other words, to be more consistent with the introduction of (MACs) it would be prudent to include the acronym identifier (I/O) after "input/output" in the claim language to avoid ambiguity. Appropriate correction is required.

Claims 8-11 are objected to for improper claim language, namely, "a file identifying support services" is described in claim 8 as a function of the server, however, it is unclear whether "a file identifying support services" is a separate element that may be used separately from the server or as a function of the server's capabilities. Appropriate correction is required.

Claim 19 is objected to and should read at line 11 "a plurality of media access controllers."

### ***Claim Rejections - 35 USC § 102***

The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless –

(b) the invention was patented or described in a printed publication in this or a foreign country or in public use or on sale in this country, more than one year prior to the date of application for patent in the United States.

Claim 1-11, 13, 18-21 and 23-28 are rejected under 35 U.S.C. 102(b) as being anticipate by Haddock et al. (U.S. Patent No. 6,104,700).

Regarding claim 1, Haddock teaches a mechanism for managing traffic within a network and allocating bandwidth to achieve a certain quality of service (QoS). The teachings of Haddock include a plurality of MAC devices on a network communicating via I/O ports, and bandwidth allocation (see Abstract and Column 3, lines 37-40 and 45-47). A bandwidth allocation request and allocating bandwidth based on the request (see column 8, lines 9-15). A defined time period or interval being identified at a forwarding device or server for bandwidth allocation (see column 13, lines: 32-33, 45-50 and 65-67). A plurality of ports or end units associated with the bandwidth allocation time and QoS requirements (see column 18, lines 22-25 and column 13, lines 65-67).

Regarding claims 2-4, 7, 13, 18 and 23 Haddock teaches bandwidth allocation based on prior bandwidth allocation history (see column 13, lines 47-52); and a class of service synonymous with a QoS.

Regarding claims 5 and 24, Haddock teaches performing bandwidth allocation for specific types of traffic flows (column 13, lines 19-24).

Regarding claims 6 and 20, Haddock teaches a comparison engine 155, a QoS category evaluation unit 175 and a scheduler 170 as separate processor units all contributing separately to the bandwidth allocation process disclosed above (see figure 1B).

Regarding claims 8-11 and 26-28, Haddock teaches a class of service, a data rate and burst rate (see column 8, lines 15-22 and 36-40).

Regarding claims 13 and 19, in addition to the above noted features with respect to claim 1, Haddock teaches parsing packets at a plurality of ports and forwarding requests for bandwidth to a first queue (column 11, lines 20-26 and 180 of figure 1b) and calculating transmission intervals in response to bandwidth requests (column 12, lines 1-4) transmitting the transmission interval packets from the QoS queues in an order determined by one or more queueing schemes, and transmitting a packet in incorporation with the time intervals.

Regarding claim 21, Haddock teaches a forwarding database memory 120 used to store forwarding information (see column 4, lines 35-38).

Regarding claim 25, Haddock teaches video data QoS parameters including certain delay requirements, similar to voice data traffic requirements (see column 8, lines 41-45).

### ***Claim Rejections - 35 USC § 103***

The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

Claims 12, 14, 16-17 and 22 are rejected under 35 U.S.C. 103(a) as being unpatentable over Haddock in view of Ofek (U.S. Patent No. 6,760,328).

As indicated above Haddock teaches a mechanism for managing traffic within a MAC device network, and bandwidth allocation to achieve a certain quality of service (QoS). Haddock is silent with regard to the specific features of a message packet, fiber

optics, and fixed time slots and transmission intervals defined by an offset of absolute time. Ofek, however, discloses a method for transmitting data packets over a packet switching network with varying speed links. The teachings of Ofek include a fiber optic communications link (column 8, line 40), a packet header (figure 6A) including a time stamp start time, a last process time or time of arrival and other indicators to identify a traffic flow, which can be used to identify offset times for transmission intervals of fixed slot times (see figure 4).

Motivation and evidence thereof to combine these two references is evident in the background portions of their respective specifications. For instance, Haddock discloses the need for a more efficient time priority scheduling mechanism for data transmissions including bandwidth allocation and QoS attributes maintained and performed centrally. Similarly, Ofek discloses a need for more efficient synchronization where the timing is not used for routing and the packets are handled based on their predefined attributes. Therefore, it would have been obvious to one of ordinary skill in the art at the time the invention was made to have combined the packet features of Ofek with the data distribution and bandwidth allocation of Haddock to arrive at most efficient method of managing and handling packet data communications.

Claim 15 is rejected under 35 U.S.C. 103(a) as being unpatentable over Haddock in view of White et al. (U.S. Patent No. 5,517,500).

Regarding claim 15, Haddock teaches all of the above features but is silent with regard to TDMA and a master clock time. White teaches a networking management

system that provides re-allocation of bandwidth (see Abstract) a master clock (BUS BLK) (see figures 35 and 37) used with a TDMA system (figure 25).

The motivation to combine Haddock with White can be found in the Background portions of their specifications. White discloses a need for packet switched data handling with a minimized amount of controlling procedures for improved efficiency of associated devices and easy re-allocation of bandwidth. Similarly, Haddock discloses the need for a more efficient time priority scheduling mechanism for data transmissions including bandwidth allocation and QoS attributes maintained and performed centrally. Therefore, it would have been obvious to one of ordinary skill in the art at the time the invention was made to have combined the TDMA system of Ofek with the data distribution and bandwidth allocation of Haddock to arrive at the features recited in claim 15.

### ***Conclusion***

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Kamran Emdadi whose telephone number is 571-272-6047. The examiner can normally be reached M-F between the hours of 7am-5pm.


If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Chi Pham can be reached on 571-272-3179. The fax phone number for the organization where this application or proceeding is assigned is 703-872-9306.

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Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).

Kamran Emdadi

03-31-2005

  
CHI PHAM  
SUPERVISORY PATENT EXAMINER  
TECHNOLOGY CENTER 2667 4/1/05